



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Kiyohito YOSHIHARA et al.**

Serial Number: **09/963,705**

Group Art Unit: **2161**

Filed: **September 27, 2001**

Examiner: **Unassigned**

For: **MANAGEMENT DEVICE AND MANAGED DEVICE IN POLICY BASED
MANAGEMENT SYSTEM**

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
Washington, D.C. 20231

January 18, 2002

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the issuance of a first official action on the merits or expiration of the three month period following the filing date or the entry of the national stage of the above-captioned application.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. See 37 CFR 1.104(a) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

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Serial No.: 09/963,705
Applicant: Kiyohito YOSHIHARA et al.

Attorney Docket No. 011153
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The Commissioner is authorized to charge our Deposit Account No. 01-2340 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP

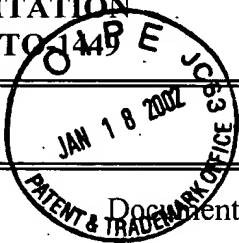


William G. Kratz, Jr.
Attorney for Applicant
Reg. No. 22,631

Attorney Docket No. 011153
1725 K Street, N.W., Suite 1000
Washington, D.C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357
WGK/rlb

Enclosures: PTO-1449 with 3 References

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| INFORMATION DISCLOSURE CITATION PTO | Atty. Docket No. 011153 | Serial No. 09/963,705 |
| | Applicant: Kiyohito YOSHIHARA et al. | |
| | Filing Date: September 27, 2001 | Group Art Unit: |



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| Examiner Initial | Document No. | Name | Date | Class | Subclass | Filing Date (If appropriate) |
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